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Applicants: Luchoomun *et al.*

Serial No.: 09/833,407

Art Unit: 1614

Filed: April 11, 2001

Examiner: Unknown

For: Compounds and Methods to Increase Plasma HDL Cholesterol Levels and Improved HDL Functionality



Commissioner for Patents
Washington, DC 20231

Supplemental Information Disclosure Statement

Sir:

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicants cite the publication listed on the accompanying PTO-1449. A copy of the listed reference is enclosed.

Pursuant to 37 CFR § 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior than the filing of this Information Disclosure Statement; or that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the

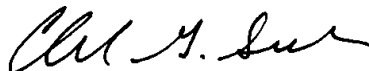
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 5, 2002.

Clark G. Sullivan, Reg. No. 36,942

U.S.S.N. 09/833,407
Supplemental Information Disclosure Statement
March 5, 2002
Page 2

Information Disclosure Statement was known to any individual designated in 37 CFR § 1.56(c) more than three (3) months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Clark G. Sullivan".

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2221112

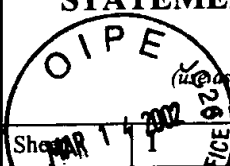
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| Substitute for form 1449A/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <div style="display: flex; align-items: center;">  <div style="margin-left: 20px;"> (use as many sheets as necessary) Sheet <u>1</u> of <u>1</u> </div> </div> | | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2" style="text-align: left;">Complete if Known</th> </tr> <tr> <td style="width:30%;">Application Number</td> <td>09/833,407</td> </tr> <tr> <td>Filing Date</td> <td>April 11, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Luchoomun, <i>et al.</i></td> </tr> <tr> <td>Group Art Unit</td> <td>1614</td> </tr> <tr> <td>Examiner Name</td> <td>N/A</td> </tr> <tr> <td>Attorney Docket Number</td> <td>04676.105059</td> </tr> </table> | | Complete if Known | | Application Number | 09/833,407 | Filing Date | April 11, 2001 | First Named Inventor | Luchoomun, <i>et al.</i> | Group Art Unit | 1614 | Examiner Name | N/A | Attorney Docket Number | 04676.105059 |
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| Application Number | 09/833,407 | | | | | | | | | | | | | | | | |
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| First Named Inventor | Luchoomun, <i>et al.</i> | | | | | | | | | | | | | | | | |
| Group Art Unit | 1614 | | | | | | | | | | | | | | | | |
| Examiner Name | N/A | | | | | | | | | | | | | | | | |
| Attorney Docket Number | 04676.105059 | | | | | | | | | | | | | | | | |

| U.S. PATENT DOCUMENTS | | | | | | |
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| Examiner Initials * | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | WO | US 96/08239 | A | 21-03-1996 | PCT | |
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